

**SCRMCA Recommendation Status Report - Capital Programme (Overall audit opinion - Limited)**

Year & Period Due	Audit Report Title, Recommendation Number and Recommendation Title	Recommendation - Description	Priority	Theme	Status	Target Impl. Date	Original Target Impl. Date	No of Date Revisions	Agreed Management Action	Progress Update	Action Manager	Auditor
2019/20 Q1	SCRMCA/SYPTE - Capital Programme 2018/19 - R6 - Low Emission Buses	The SYPTE should continue to work with the operators, MCA and also DfT/DEFRA to agree the format of information to be reported as soon as possible. Upon agreement being reached, the information should be reported to all relevant parties in accordance with the agreed timescales.	Significant	Financial Management	Complete	30-Jun-2019		0	The SYPTE Programme Manager (Passenger Transport Information) will continue to work with operators and DfT/Defra to provide monthly / quarterly updates in a suitable agreed format.  Consideration will also be given as to whether this project should be brought in scope of the review of programme management arrangements.	<b>UPDATE OCT 19 COMPLETE</b> - DfT have confirmed to the Contracts team that they are satisfied with the quality of the emissions data that has been provided so arrangements can now be made to release the outstanding grant payment	SYPTE Programme Manager (PTI)	Caroline Hollins
2019/20 Q2	SCRMCA/SYPTE - Capital Programme 2018/19 – R5 - CA Quarterly Report	The quarterly report presented to the CA in relation to the SYPTE's Capital Programme should include a more detailed breakdown of the funding streams.	Merits Attention	Management Assurance Process	Overdue	18-Nov-19	30-Sep-2019	1	This will be rectified in the first quarterly monitoring report of 2019/20, currently scheduled to be presented to the MCA at the end of September 2019.	<b>UPDATE OCT 19</b> - resources prioritised to budget review activity during Q1, this management action will be addressed in the Q2 report.	SCR Senior Finance Manager	Caroline Hollins
<b>NOT YET DUE</b>												
2019/20 Q4	SCRMCA/SYPTE - Capital Programme 2018/19 - R1 - Terms of Reference	The Terms of Reference for the Strategic Transport Group, Programme Board and Asset Management and Maintenance Group should be reviewed and approved by its members.  Should the requirement to present the draft Capital Programme to the Programme Board for its challenge and approval remain, this should be complied with in future.	Significant	Information Governance	Complete	31-Mar-2020		0	In line with the MCA approved governance changes, the new Transport Board will agree any sub arrangements it wishes to see implemented. This may lead to a disbanding of some current groups or a repurposing of them, with clearer terms of reference agreed by the Transport Board of the MCA.	<b>UPDATE OCT 19 COMPLETE</b> - Transport Executive Board has strengthened the governance arrangements for Transport Executive Board to provide greater oversight of the Transport Capital Programme and have reviewed and agreed new ToR for the STG.	SCR Director of Programme Commissioning	Caroline Hollins
2019/20 Q4	SCRMCA/SYPTE - Capital Programme 2018/19 - R2 - HCM Programme Approval	The detail included within the Capital Programme 2019/20 submitted to the Executive Board and the CA for approval should be considered in terms of provision of an increased breakdown of information for the HCM programme (i.e. scheme level).  In addition, the quarterly HCM related update reports should be developed to include a similar RAG rated delivery review report as that reported for the ITB.	Significant	Information Governance	Complete	31-Mar-2020		0	Consideration will be given how best to commission the HCM programme such that the new Transport Board can discharge its responsibilities to monitor the overall MCA transport capital programme.	<b>UPDATE OCT 19 - COMPLETE</b>	SCR Director of Programme Commissioning	Caroline Hollins
2019/20 Q4	SCRMCA/SYPTE - Capital Programme 2018/19 - R3 - ITB Change Requests	Consideration should be given to creating a standard template to be completed for all change requests. In addition, should these continue to be submitted by e-mail, a specific inbox could be utilised to minimise the risk of requests being missed and not actioned / the approvals not being recorded.	Merits Attention	Information Governance	Complete	31-Mar-2020		0	A full review will be undertaken of the programme management arrangements, including whether work is integrated into the PMO function of the MCA.	<b>UPDATE OCT 19 - COMPLETE</b>	SCR Director of Programme Commissioning	Caroline Hollins

2019/20 Q4	SCRMCA/SYPTE - Capital Programme 2018/19 - R4 - ITB and HCM reporting to Executive Board.	<p>All reports relating to the ITB and HCM that are presented to the SYTDG, STG and Executive Board should provide for greater transparency and consistency in the level of detail provided (i.e. the figures in terms of including carried forward and over programming).</p> <p>The MCA Finance Team should request information from / confirm accuracy of information being reported to the CA with the Local Transport Manager and SYPTE Principal Programme Delivery Manager.</p>	Significant	Financial Management	Not Yet Due	31-Mar-2020		0	<p>A per the management action noted at R3, a full review will be undertaken. The review will look at the level of reporting required to go to the new Transport Board and to the MCA via the Finance Team as a matter of urgency.</p>	<p><b>UPDATE OCT 19</b> - ITB (Integrated Transport Block) is now being scrutinised by the Transport Board ensuring greater oversight and accountability in terms of delivering the existing programme.</p> <p>HCM (Highways Capital Maintenance) - Policy decision under consideration regarding level of detail required to monitor delivery of the programme and its impact.</p>	SCR Senior Finance Manager & SCR Director of Programme Commissioning	Caroline Hollins
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